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# Adaptive finite element methods in geodynamics

## Convection dominated mid-ocean ridge and subduction zone simulations

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#### Abstract

Purpose – The purpose of this paper is to present an adaptive finite element procedure that improves the quality of convection dominated mid-ocean ridge (MOR) and subduction zone (SZ) simulations in geodynamics.

Design/methodology/approach – The method adapts the mesh automatically around regions of high-solution gradient, yielding enhanced resolution of the associated flow features. The approach utilizes an automatic, unstructured mesh generator and a finite element flow solver. Mesh adaptation is accomplished through mesh regeneration, employing information provided by an interpolation-based local error indicator, obtained from the computed solution on an existing mesh.

Findings – The proposed methodology works remarkably well at improving solution accuracy for both MOR and SZ simulations. Furthermore, the method is computationally highly efficient.

 $O$ riginality/value – To date, successful goal-orientated/error-guided grid adaptation techniques have, to the knowledge, not been utilized within the field of geodynamics. This paper presents the first true geodynamical application of such methods.

Keywords Finite element analysis, Meshes, Oceanography, Simulation, Flow

Paper type Research paper

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#### 1. Introduction **HFF**

Over recent decades, adaptive grid techniques (Babuska and Rheinbolt, 1978; Lohner et al., 1985; Peraire et al., 1987) have been widely employed by the engineering community, in areas ranging from compressible aerodynamics (Hassan et al., 1995) to incompressible flow and heat transfer problems (Pelletier and Ilinca, 1995; Nithiarasu and Zienkiewicz, 2000; Mayne et al., 2000). However, until recently (Davies et al., 2007), grid adaptivity had not been applied within the field of geodynamics, a branch of geophysics concerned with measuring, modeling, and interpreting the configuration and motion of Earth's crust and mantle. This is surprising, since the method provides a suitable means to solve many of the complex problems currently encountered in the field. The motivation behind this study, therefore, is to demonstrate the benefits of such techniques within a geophysical framework.

The mantle, the region between Earth's crust and core, contains 84 percent of Earth's volume and 68 percent of its mass, but because it is separated from direct observation by the thin crust there are many unsolved problems. Mantle convection establishes one of the longest time scales of our planet. Earth's mantle, though solid, is deforming slowly by a process of viscous creep and, while sluggish in human terms, the rate of this subsolidus convection is remarkable by any standard. Indeed, it is estimated that the mantle's Rayleigh number, a dimensionless parameter quantifying its convective instability, is of order  $10^9$  (Davies and Richards, 1992), generating flow velocities of  $2\text{-}10 \text{ cm yr}^{-1}$ . Plate tectonics is the prime surface expression of this convection, although, ultimately, all large-scale geological activity and dynamics of the planet, such as mountain building and continental drift, involve the release of potential energy within the mantle. Consequently, innovative techniques for simulating these large-scale, infinite Prandtl number convective systems are of great importance.

Rather than simulate the whole mantle, which would require massively parallel codes in 3D spherical geometry, this investigation focusses upon geologically active regions along Earth's surface, where the mantle interacts with Earth's crust. Steady state thermal convection is examined, at a mid-ocean ridge (MOR) and at a subduction zone (SZ), problems that can be well approximated in 2D. A MOR is a long, elevated volcanic structure, occurring at divergent plate margins along the middle of the ocean floor. Such ridges form through the symmetrical spreading of two tectonic plates from the ridge axis. SZ, on the other hand, occur at convergent plate margins, where Earth's tectonic plates move towards each other, with one plate subducting beneath the other into Earth's mantle. The geometry of a SZ is mapped out by the locations of earthquakes and deep seismicity, with most present day SZs extending from trenches on the ocean floor, at an angle ranging from near horizontal to near vertical, to a depth of up to 700 km. Volcanoes tend to form  $\approx 100$  km above the subducting slab, at the volcanic arc, making SZs the most active tectonic locations on our planet.

Numerical simulations of these tectonic settings involve complex geometries, complex material properties and complex boundary conditions. Such a combination often yields unpredictable and intricate solutions, where narrow regions of high-solution gradient are found embedded in a more passive background flow. These high-gradient regions present a serious challenge for computational methods: their location and extent is difficult to determine a priori, since they are not necessarily restricted to the boundary layers of the domain. Furthermore, even if their location is identified, with the current methods employed in the field, it is often impossible to

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resolve localized features. It is natural to think, therefore, that grid adaptivity, with a posteriori error indication criterion, could play an important role in the development of efficient solution techniques for such problems.

The present study extends on the work of Davies *et al.* (2007), which applied grid adaptivity to infinite Prandtl number, thermal and thermo-chemical convection. However, here, attention is focussed on geodynamical application, as opposed to methodology formulation and validation. The aim is to improve the solution quality of MOR and SZ simulations, by utilizing adaptive mesh refinement strategies. Results illustrate that the method is advantageous, improving solution accuracy whilst reducing computational cost.

The remainder of this paper will cover the equations governing mantle convection, together with the numerical and adaptive strategies used in their solution. An overview of the error indicator and remeshing technique is then provided and, to conclude, the methodology is applied in geodynamical simulations of the tectonic settings introduced above.

#### 2. Methodology

#### 2.1 Governing equations and solution procedure

Earth's mantle is solid. However, over large timescales it deforms slowly through processes such as dislocation and diffusion creep. As a consequence, motion within Earth's mantle can be described by the equations governing fluid dynamics. Since the mantle has an extremely large viscosity ( $\approx 10^{21}$  Pa), the equations governing mantle convection are somewhat different to those governing the more typical fluid mechanics problems:

- The large viscosity of Earth's mantle makes the Prandtl Number  $(Pr)$ , the ratio between viscous and inertial forces, of the order  $10^{24}$ . Accordingly, inertial terms in the momentum equation can be ignored.
- . The Ekman number (i.e. the ratio between viscous and Coriolis forces) is of the order  $10^9$ , since the velocity of convection within the mantle is so small. As a consequence, the Coriolis force can be neglected.
- . The centrifugal force is proportional to the square of the velocity. Consequently, it is even smaller than the Coriolis force and it is also ignored.

This mantle convection problem is formulated in terms of the conservation equations of momentum, mass and energy, expressed for incompressible, Boussinesq convection, in dimensionless, vector form:

$$
\nabla^2 \mathbf{u} = -\nabla p + RaT\hat{\mathbf{k}}\tag{1}
$$

$$
\nabla \cdot \mathbf{u} = 0 \tag{2}
$$

$$
\frac{\partial T}{\partial t} + \mathbf{u} \cdot \nabla T = \nabla^2 T \tag{3}
$$

where **u** is the velocity vector, T is the temperature,  $p$  is the non-lithostatic pressure,  $\bf{k}$  is the unit vector in the direction of gravity and t is the time. In addition, the dimensionless parameter:

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$$
Ra = \frac{\beta g \Delta T d^3}{\kappa \nu} \tag{4}
$$

denotes the Rayleigh number, where g is the acceleration due to gravity,  $\beta$  is the coefficient of thermal expansion,  $\Delta T$  is the temperature drop across the domain, d is the domain depth,  $\kappa$  is the thermal diffusivity and v is the kinematic viscosity.

A widely used 2D geodynamics finite element program, CONMAN, which employs quadrilateral elements and bilinear shape functions for velocity, is utilized to solve these incompressible, infinite Prandtl number equations. The main characteristics of the code are presented here, although a more detailed description can be found in King *et al.* (1990). The continuity equation is treated as a constraint on the momentum equation and incompressibility is enforced in the solution of the momentum equation using a penalty formulation. The well known streamline upwind Petrov Galerkin method is used to solve the energy equation (Hughes and Brooks, 1979) and an explicit second order predictor corrector algorithm is employed for time marching. Since the temperatures provide the buoyancy to drive the momentum equation and, as there is no time dependence in the momentum equation, the algorithm to solve the system is simple: given an initial temperature field, calculate the resulting velocity field. Use the velocities to advect the temperatures for the next time step and solve for a new temperature field.

#### 2.2 Adaptive strategies

Over recent decades, unstructured grid systems have been developed and applied in simulations of various computational fluid mechanics problems. The accuracy of a computational solution is strongly influenced by the discretization of the space in which a solution is sought. In general, the introduction of a highly dense distribution of nodes throughout the computational domain will yield a more accurate answer than a coarse distribution. However, limitations in computer processing speed and accessible memory prohibit such a scenario. An appropriate alternative would be to improve the accuracy of the computation where needed. Grid adaptation provides a suitable means to do this, ensuring that grids are optimized for the problem under study. Broadly speaking, such adaptive procedures fall into two categories:

- (1)  $h$ -refinement, in which the same class of elements continue to be used, but are changed in size to provide the maximum economy in reaching the desired solution; and
- (2) p-refinement, in which the same element size is utilized, but the order of the polynomial is increased or decreased as required.

A variant of the h-refinement method, termed adaptive remeshing, is employed in this study It provides the greatest control of mesh size and grading to better resolve the flow features. The main advantages offered by such methods are (Lohner, 1995):

- . the possibility of stretching elements when adapting features that are of lower dimensionality than the problem at hand, which leads to considerable savings; and
- . the ability to accommodate, in a straightforward manner, problems with moving bodies or free surfaces.

In this method, the problem is solved initially on a coarse grid, noting that this grid must be sufficiently fine to capture the important physics of the flow. Remeshing then involves the following steps: element methods

- (1) The solution on the present grid is analyzed through an error indication procedure, to determine locations where the mesh fails to provide an adequate definition of the problem. An interpolation-based local error indicator is employed in this study, based upon nodal temperature curvatures (Peraire *et al.*, 1987).
- (2) Given the error indication information, determine the nodal spacing,  $\delta$  the value of the stretching parameter, s and the direction of stretching,  $\alpha$  for the new grid (Figure 1).
- (3) Using the old grid as a background grid, remesh the computational domain utilizing a variant of the advancing front technique (George, 1971; Lo, 1985; Peraire *et al.*, 1987; Davies *et al.*, 2007), which is capable of generating meshes that conform to a user prescribed spatial distribution of element size (i.e.  $\delta$ ,  $\alpha$  and s).
- (4) Interpolate the original solution between meshes.
- (5) Continue the solution procedure on the new mesh.

The remeshing process is repeated until the desired solution criteria are met.

2.2.1 The error indicator. To determine optimum nodal values for the mesh parameters  $\delta$ , s and  $\alpha$ , it is necessary to use the existing solution to give some indication of the error magnitude and direction. A certain "key" variable must be identified and then the error indication process can be performed in terms of this variable. In this study, the error indicator is based on the temperature variable, T. Of course, other variables (e.g. pressure) or any combination of variables (e.g. temperature and velocity) could be chosen, depending upon the nature of the problem under investigation.

A local error indicator, based upon interpolation theory, is employed here. Error indicators of this nature make the assumption that the nodal error is zero, allowing one to approximate the elemental error by a derivative one order higher than the element shape function. We make use of this approach to refine the grid, by considering the





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second derivatives, or curvatures, of T. Note that for the remainder of this section we will restrict our discussion to the solution variable  $\phi$ , rather than refer to T explicitly. Consider a one-dimensional situation in which the exact values of the key variable  $\phi$ are approximated by a piecewise linear function  $\hat{\phi}$ . The error, E, is then defined as:

$$
E = \phi(x) - \hat{\phi}(x) \tag{5}
$$

If the exact solution is a linear function of  $x$ , this error will vanish, as the approximation has been obtained using piecewise linear finite element shape functions. To a first order of approximation, the error,  $E$ , can be evaluated as the difference between a quadratic finite element solution,  $\hat{\phi}$ , and the linear computed solution. To obtain a piecewise quadratic approximation, one could obviously solve a new problem using quadratic shape functions. This, however, would be costly and an alternative approach for estimating a quadratic approximation from the linear finite element solution can be employed. Assuming that the nodal values of the quadratic and the linear approximations coincide, i.e. that the nodal values of  $E$  are zero, a quadratic solution can be constructed on each element, once the value of the second derivative is known.

The variation of the error within an element,  $E_e$ , is then expressed as:

$$
E_e = \frac{1}{2} \zeta (h_e - \zeta) \frac{\partial^2 \hat{\phi}}{\partial x^2}
$$
 (6)

where  $\zeta$  denotes a local element coordinate and  $h_e$  denotes the element length (Peraire *et al.*, 1987). The root mean square value  $E_e^{RMS}$  of this error over the element is computed as:

$$
E_e^{RMS} = \left\{ \int_0^{h_e} \frac{E_e^2}{h_e} \, \mathrm{d}\zeta \right\}^{1/2} = \frac{1}{\sqrt{120}} h_e^2 \left| \frac{\partial^2 \hat{\phi}}{\partial x^2} \right|_e \tag{7}
$$

where  $\parallel$  denotes absolute value. Several previous studies (Demkowicz et al., 1984; Peraire et al., 1987; Nithiarasu, 2000) have demonstrated that equidistribution of the element error leads to an optimal mesh and in what follows we employ the same criterion. This requirement implies that:

$$
h^2 \left| \frac{\partial^2 \hat{\phi}}{\partial x^2} \right| = C \tag{8}
$$

where C denotes a positive constant. Finally, the requirement of equation (8) suggests that the optimal spacing  $\delta$  on the new adapted mesh should be computed according to:

$$
\delta^2 \left| \frac{\partial^2 \hat{\phi}}{\partial x^2} \right| = C \tag{9}
$$

Equation (9) can be directly extended to the 2D case by writing the quadratic form:

$$
\delta_{\beta}^{2}(m_{ij}\beta_{i}\beta_{j}) = C \tag{10}
$$

where  $\beta$  is an arbitrary unit vector,  $\delta_{\beta}$  is the spacing along the direction of  $\beta$ , and  $m_{ij}$ are the components of a 2  $\times$  2 symmetric matrix, **m**, of second derivatives defined by: element methods

$$
m_{ij} = \frac{\partial^2 \hat{\phi}}{\partial x_i \partial x_j} \tag{11}
$$

These derivatives are computed at each node of the current mesh by using the 2D equivalent of the variational recovery procedure. This procedure allows one to recover the nodal values of second derivatives from the elemental values of the first derivatives of  $\phi$  (Zienkiewicz *et al.*, 2006).

2.2.2 Adaptive remeshing. The basic concept behind the adaptive remeshing technique is to use the computed solution to provide information on the spatial distribution of mesh parameters. This information will be used by the mesh generator to generate a new adapted mesh in those areas where the values of the optimal mesh parameters,  $\delta$ ,  $\alpha$  and s, differ from the values of the current mesh parameters by greater than a user prescribed tolerance, *msh-tol*, which is set as 0.5 percent in this study.

Optimal values for mesh parameters are calculated at each node of the current mesh. The directions  $\alpha_i$ ;  $i = 1, 2$  are taken to be the principal directions of the matrix **m**. The corresponding mesh spacings are computed from the eigenvalues  $\lambda_i$  of **m**, as:

$$
\delta_i = \sqrt{\frac{C}{\lambda_i}}, \quad i = 1, 2 \tag{12}
$$

The spatial distribution of the mesh parameters is defined when a value is specified for the constant C. The total number of elements in the adapted mesh will depend upon the choice of this constant. The magnitude of the stretching parameter, s, at node  $n$ , is simply defined as the ratio between the two spacings:

$$
s_n = \sqrt{\frac{|\delta_{1n}|}{|\delta_{2n}|}}
$$
\n(13)

where  $\delta_{1n}$  and  $\delta_{2n}$  are the spacings in principal direction 1 and 2, respectively.

In the practical implementation of this method, two threshold values are used: a minimum spacing,  $\delta_{min}$ , and a maximum spacing,  $\delta_{max}$ , with:

$$
\delta_{\min} \le \delta_i \le \delta_{\max}; \quad i = 1, 2 \tag{14}
$$

It is apparent that in regions of uniform flow, the computed values of  $\delta_n$  will be very large. Consequently, the user must specify a maximum allowable value,  $\delta_{max}$ , for the local spacing on the new mesh. Then, if  $\delta_n$  is such that  $\delta_n \geq \delta_{max}$ , the value of  $\delta_n$  is set to  $\delta_{max}$ . Similarly, the user prescribes a maximum allowable stretching ratio on the new mesh.

The new mesh is generated according to the computed distribution of mesh parameters, using a variant of the advancing front technique (Peraire et al., 1987). The original solution is then transferred onto the new mesh using linear interpolation and the solution procedure continues on the new mesh. It should be noted that the increase in definition of flow features is achieved by decreasing the value of  $\delta_{min}$ . The value of

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 $\delta_{min}$  is therefore the major parameter governing the number of elements in the new mesh. The methodology employed in this study has previously been validated by Davies et al. (2007).

#### 3. Geodynamical applications

#### 3.1 Mid-ocean ridge magmatism

A significant body of work has been published on the numerical modeling of MOR. For example, Buck et al. (2005) use numerical models to study modes of faulting at ridges, Kuhn and Dahm (2004) employ numerical models to study magma (i.e. molten rock) ascent beneath ridges, while Albers and Christensen (2001) study the channeling of plumes below ridges. While these models were designed to investigate specific processes at ridges, incorporating complex material properties and boundary conditions, we present a simple, generic, passive (buoyancy forces are neglected) MOR model, utilizing our results to demonstrate the benefits of grid adaptivity.

3.1.1 Model geometry and boundary conditions. The model presented does not incorporate the entire convecting mantle. Instead, we focus on the region directly adjacent to a MOR. Our results are derived from simulations in a rectangular domain of height 1, which in our application to a MOR represents 500 km, and width 5  $( = 2,500 \text{ km})$ , x being non-dimensionalized, x', as:

$$
x' = \frac{x}{l_0} \tag{15}
$$

where  $l_0 = 500 \text{ km}$ . By limiting the vertical and horizontal extent of the domain, computational expenditure is reduced, allowing one to accurately resolve the flow details contiguous to plate boundaries. The main drawback of this technique is that flow must be permitted through the lower and side boundaries of the model; these boundary conditions are therefore specified in such a way as to mimic the effect of the full convecting system on the smaller region under study (Figure 2).

Plate motion is prescribed as a kinematic boundary condition at the upper surface. A non-dimensional velocity, equivalent to  $5 \text{ cm yr}^{-1}$ , is chosen, utilizing the non-dimensional relation:

$$
v' = \frac{vl_0}{\kappa} \tag{16}
$$

where  $\kappa$  denotes thermal diffusivity, taken as  $1 \times 10^{-6}$ m<sup>2</sup>s<sup>-1</sup>. Time is non-dimensionalized by the conductive time scale:

$$
t' = \frac{t\kappa}{l_0^2} \tag{17}
$$

The model makes no attempt to account for forces that move the plate. The new plate that is continuously created within the model is disposed of by a prescribed rate of flow through the outer boundary. This in turn is replaced by material from the side and lower boundaries. Material properties are uniform throughout the domain, there are no internal heat sources and the Rayleigh number is set to zero.

3.1.2 Results. We find that the broad patterns observed in previous studies are reproduced (Figure 3). With flow driven kinematically by surface "plate" motion, the

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**HFF** 18,7/8 system evolves to approach a steady state. This typically involves the development of a cold "plate" thickening with age at the surface, with flow beneath focusing heat directly towards the ridge axis (i.e. the upper center of our model). This "plate" is particularly well captured in our simulations, as a direct consequence of the adaptive methodologies utilized. It is necessary, therefore, to provide a brief run through the evolution of the calculation, to illustrate the benefits of grid adaptivity. Having obtained an initial solution on a coarse grid (Figure  $4 -$  Stage 1b), mesh adaptation was invoked to resolve, in more detail, the temperature profile encountered. The solution was analyzed via the error indication procedure and the domain remeshed, utilizing the information yielded by this error indicator (the generation parameters  $\delta_{Min}$ ,  $\delta_{Max}$ ,  $\delta_{Max}$ and C displayed in Table I) to control the regeneration process. The ensuing grid is shown in Figure 4 (Stage 2a). Note that nodes have automatically clustered around

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**Notes:** Stress free conditions, i.e. no normal or shear stress, are employed at the lower and side boundaries, with prescribed velocities (kinematic) on the upper boundary, the non-dimensional equivalent of 5 cm  $Yr^{-1}$ . Temperatures are fixed on upper (*T* = 0) and lower (*T* = 1) boundaries with insulating sidewalls



Figure 3. The thermal field generated by our MOR simulations

**Notes:** Red is hot  $(T = 1)$ , blue is cold  $(T = 0)$  and the color scale is linear. A series of stream-traces are included, indicating the flow field behaviour

Figure 2. A summary of the boundary conditions utilized in our mid-ocean ridge model, where MBC denotes the mechanical boundary conditions

zones of high-temperature gradient, at the surface and immediately below "plate" boundaries.

The solution procedure continued on this new mesh, producing the thermal field shown in Figure 4 (Stage 2b). It is clear, even visually, the solution on this grid is far better resolved than that shown in Figure 4 (Stage 1b), with contours more steady and consistent. However, by examining the thermal field close to the ridge axis (Figure 5 – Stage 2b) it becomes apparent that the problem remains inadequately defined. Consequently, one further remeshing loop was invoked, producing the mesh shown in Figure 4 (Stage 3a). The simulation was terminated once the solution was deemed to have converged on this mesh. Final temperature contours are shown in Figures 4 and 5 (Stage 3b).

With each remeshing, the benefits of the multi-resolution solution permitted by the adaptive methodologies can be appreciated. Within the upper thermal boundary layer, where temperature contours are extremely compact and gradients are high, a large number of nodes is required to generate an accurate solution. Since the lower reaches of



#### Figure 4. Evolution of the temperature field (b) on a series of adapted grids (a)

simulations

**Notes:** Red is hot  $(T = 1)$ , blue is cold  $(T = 0)$ , and the contour spacing is 0.05, although contour values are not fundamental to this figure. Its main purpose is to illustrate how nodes cluster around zones of high temperature gradient at the surface. Note that the coordinate scales are distorted, with  $x$ :  $y = 0.5$ 



Notes: It should be noted that the initial mesh (Stage 1) was generated via a simple uniform mesh generator, as opposed to the advancing front generator typically employed throughout this study

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the model are more passive, with reduced solution gradients, the number of nodes required for accuracy is significantly less. The proposed method automatically ensures that an "optimal" mesh is generated, with zones of fine resolution being analogous to zones of high-solution gradient. Consequently, the thermal field can be adequately resolved.

As a quantitative test of the method, we have computed heat flow as a function of ocean floor age, at each stage of the calculation (i.e. for converged solutions on each grid). The results are shown in Figure 6, alongside data derived from a cooling half-space model (Turcotte and Schubert, 2002) which is an analytical approximation to the problem, and data obtained from a simulation utilizing a fully uniform, structured mesh of almost 30,000 elements (SM in Figure 6). An exceptional agreement is observed between all data sets beyond  $\approx 1$ Myr (Myr  $=$  million years). This accord, however, disappears within  $\approx$  1Myr of the ridge axis. Here, the half-space model tends towards infinity, whereas our simulations converge towards a finite value, as indeed would be expected from the physics of the numerical problem. Nevertheless, this graph provides a simple way to illustrate the benefits of the proposed methodology. Simulation results show sequentially improving agreements with the half-space model as one refines the grid from Stages 1 to 3. Results track the analytical solution closer to the ridge axis, culminating in successively higher values at the axis itself. This is a direct consequence of the improved resolution inherent to the adaptive methodologies utilized. At the ridge axis itself, the true numerical solution is extremely difficult to reproduce. However, what is clear from this graph is that the adaptive methodologies employed significantly improve solution quality. The results from a fully uniform structured mesh, albeit with more degrees of freedom, are not competitive with those obtained using the adapted grids.



#### Figure 6.

Plot of computed heat flow against age relation for our MOR models and the cooling half-space model for  $k = 3.3$  Wm<sup>-1</sup>K<sup>-1</sup>

**Notes:** A clear divergence is observed between the computed solution and the cooling half-space. However, as the grid becomes more and more refined, through the adaptive procedures, this divergence decreases dramatically. Note that SM represents the solution obtained on a fully uniform structured mesh. It is included for comparative purposes only

*Age* (*Myr*)

6 8 10

In addition to increasing solution accuracy, the adaptive refinement strategies are computationally highly efficient. As regards to the MOR simulations presented here, for a specified level of accuracy a uniform mesh simulation expends approximately 20 percent more CPU time than an adaptive mesh simulation, with figures for the adaptive case including the time allocated for remeshing. The generation of a new optimal mesh is an inexpensive procedure, typically taking between 15 and 20 time-steps, compared to the time taken for one time step with a fixed mesh. It should be noted however that the time expended in remeshing can be decreased significantly by specifying a larger remeshing tolerance, *msh \_tol.* 

#### 3.2 Subduction zone magmatism

As is the case with MOR, numerical models have become central in shaping our understanding of SZ dynamics and thermal structures. Andrews and Sleep (1974) use numerical models to demonstrate that frictional heating along the subducting plate is not likely to produce enough heat to melt the slab. Davies and Stevenson (1992) cite numerical models as primary evidence to suggest that the oceanic crust of the down going slab is not melted extensively, if at all, and, hence is not the source of SZ magmatism, with the possible exception of the special case of very young oceanic crust, which is hotter. Numerical simulations have also been developed for studies of SZ mineralogy and metamorphism (Peacock, 1996), transportation of water and its influence on melting (Iwamori, 1998), the thermal and dynamic evolution of the upper mantle in SZ (Kincaid and Sacks, 1997), and the effects of chemical phase changes on the downwelling slab (Christensen, 2001). It is important to note that the SZs discussed here have an idealized geometry. The terminology used in describing such SZs is shown in Figure 7.



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Figure 7. The geometry of a generic SZ

**Notes:** Note that by overriding plate we mean the rigid lithosphere. By wedge corner we mean the apex at which the overriding plate and slab meet **Source:** Davies and Stevenson (1992)

A basic isoviscous flow model is presented which is used to demonstrate the benefits of grid adaptivity within a SZ context. It is common knowledge that the most difficult region to resolve in any SZ model is the area between the subducting slab and the overriding plate, commonly known as the mantle wedge corner, as a direct consequence of a singularity at the intersection between slab and plate. Since, the most geologically important processes in SZ occur here, models of wedge flow need to be carefully constructed. Previous studies have achieved higher resolution in this area by a priori generating a mesh with a large number of nodes clustered in the wedge (Davies and Stevenson, 1992). However, this is not ideal. Grid adaptivity provides a suitable alternative, allowing one to automatically generate an optimal mesh, utilizing a posteriori error indication procedures, ensuring that nodes are positioned where required. Such techniques could therefore play an important role in future solution strategies for these models, for both the steady state simulations considered here and more complex unsteady problems.

3.2.1 Model geometry and boundary conditions. We do not simulate the entire convecting mantle. Instead, we focus on the region directly adjacent to a generic SZ. The results of calculations using a box of  $3 \times 2$  non-dimensional units are presented, which is equivalent to  $600 \times 400$  km. Boundary conditions are shown in Figure 8. Table II shows the sequence of meshes and mesh generation parameters employed for subduction zone simulation. We shall distinguish two lithospheres. First, a mechanical lithosphere, which will be considered to be the rigid part of the plate on the time scale of the process, and, second, a thermal lithosphere, which is Earth's upper thermal boundary layer. The descending slab is prescribed by a kinematic boundary condition



as a non-migrating slab dipping uniformly at  $60^\circ$ . The subduction velocity is set to the non-dimensional equivalent of  $9 \text{ cm yr}^{-1}$ . These velocities are also prescribed to the incoming plate. A zero velocity condition is specified at certain nodes to model the mechanical lithosphere of the overriding plate, corresponding to a thickness of 50 km. This restricts them from participating in the viscous flow region. The thickness of the mechanical lithosphere of the downgoing plate is taken to be the same as the overriding plate (i.e. 50 km). The side and lower domain boundaries are prescribed with velocities derived from the analytical solution to a Newtonian corner flow problem (McKenzie, 1969). Indeed, by setting the model up in this way, a direct comparison can be made between simulated velocities and those of the analytical solution. This allows a quantitative demonstration into the benefits of grid adaptivity to SZ simulations. Temperature boundary conditions are slightly more complex. The temperature is fixed at the surface  $(T = 0)$  and a zero heat flux condition is specified at the base of the model. On the continental side, i.e. the overriding plate, the thermal boundary layer is assumed to be 100 km thick. Within this layer, it is assumed that vertical heat transfer is practically by conduction alone and that steady state conditions prevail. The temperature profile is, therefore, represented by a linear temperature gradient, with the temperature at the base of this layer assumed to be 1,350°C, or  $T = 1$  in non-dimensional units, and the temperature at the top, i.e. the surface of the overriding plate, assumed to be 0°C, or  $T = 0$  in non-dimensional units. The situation on the oceanic side, i.e. the incoming plate, is slightly different. The oceanic plate is created at

the axis of a mid-ocean ridge and cools as it moves away from the ridge axis, as was shown in Figure 3. The temperature profile within the incoming plate can, therefore, be approximated, by a standard error function, consistent with a plate of age 40 Ma (Carlsaw and Jaeger, 1959), as: Adaptive finite element methods

$$
T(y) = T_{(s)} + \{T_{(m)} - T_{(s)}\} \text{erf}\left\{\frac{y}{2\sqrt{\kappa t_{40}}}\right\}
$$
 (18)

where  $t_{40}$  is the age of the plate in seconds,  $T_{(s)}$  is the surface temperature,  $T_{(m)}$  is the mantle temperature and  $\kappa$  is the thermal diffusivity, which is assumed to be  $10^{-6}$  m<sup>2</sup>s<sup>-1</sup>. The thermal boundary layer is assumed to be 100 km thick with temperatures at its top and base taken as  $T = 0$  and  $T = 1$ , in non-dimensionalized units, respectively.

Convection is believed to be the dominant mode of heat transfer in the upper mantle, beneath the thermal boundary layers. Consequently, the temperature gradient is lower. In the interior of a vigorously convecting fluid, the mean temperature gradient is approximately adiabatic. Considering the adiabatic temperature gradient of the uppermost mantle (Turcotte and Schubert, 1982) and the depth of the box, i.e. 400 k m, a temperature of  $1,470^{\circ}C$  is specified at the bottom left and right corners of the box, which, in non-dimensionalized units, is  $\approx$  1.09. The temperature increases linearly from the base of the thermal boundary layer to this point. There are no explicit heat sources or sinks within the model.

3.2.2 Results. Results are shown in Figure 9. They are broadly consistent with previous SZ models, with the thermal field being characterized by rapid temperature variations over the solution domain, predominantly along the margins of the subducting plate and in Earth's upper thermal boundary layer. However, these results are not central to our study. It can be shown from Figure 9 that the adaptive procedure has refined the grid at locations of high-temperature gradient, without overloading the remainder of the domain (note that a preset element size is specified for nodes in the upper mechanical lithosphere and the down going slab, since velocities here are prescribed). Such grid refinement has a dramatic effect on solution accuracy. This is shown in Figures 10 and 11, which display the discrepancy between simulated velocities and those yielded by the analytical solution. This local error,  $E_L$ , is calculated as:

$$
E_L = \frac{|V_M - V_A|}{|V_A|} \tag{19}
$$

where  $V_M$  denotes simulated velocities,  $V_A$  the velocities yielded by the analytical solution and  $|\cdot|$  absolute value. The improvements yielded by grid adaptivity are clear to see. On the initial mesh (Stage 1), the error is extremely prominent, emanating from its source at the mantle wedge corner and strongly degenerating the solution over a large section of the wedge. A minor error is also visible at the corner underlying where the incoming plate descends to become the down going slab, although it is small in comparison to that observed in the wedge corner. By Stage 2, the re-meshing process has refined the grid considerably in these regions and, consequently, a substantial decrease in error is observed. An additional reduction in error also occurs in Stage 3, as the grid becomes further refined at these locations. Even though the effects of the

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#### Figure 9.

(a) The steady state thermal field yielded by our SZ simulations. Red is hot ( $T = 1.09$ ), blue is cold  $(T = 0)$  and the color scale is linear. Note that the slab remains cool throughout, while the mantle wedge corner heats up significantly. The dark lines traversing the solution domain are velocity stream-traces, included to provide an indication of the direction of motion (b) The final adapted mesh



 $0 \hspace{3.2cm} 3$ **(b)**

0

singularity are not fully nullified, its influence is severely restricted by the grid refinement procedure. The point is reinforced by examining the mean global error,  $E_G$ , calculated as:

$$
E_G = \frac{\int_{\Omega} E_L d\Omega}{\int_{\Omega} d\Omega} \tag{20}
$$

Results are presented in Table III, demonstrating quantitatively that the refinement process undoubtedly has a positive influence on the global error. As the grids are adapted a dramatic decrease in error is observed. This is particularly true for the first remeshing, where  $E_G$  decreases by a factor of 4.5.

In summary, the adaptive strategies employed have significantly improved solution accuracy for the SZ simulations presented here. The refinement process has severely restricted the influence of the intersection singularities and, consequently, solution accuracy throughout the domain is improved. Results suggest that an extension of this work to models with more realistic mantle rheologies, i.e. material properties, together



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Figure 10.

(a) The series of adapted grids; (b) The discrepancy between simulated and analytical velocities,  $E_L$ ; (c) A high resolution image of this error in the mantle wedge corner





High resolution contour plots of the solution error,  $E_L$ , in the mantle wedge corner. Contour values range between 0.1 and 0.9, at a contour spacing on 0.2: (a) represents the solution error obtained on the initial grid; (b) the error after 1 remeshing, while; (c) represents the final error, i.e. after 2 remeshings

Figure 11.



with more Earth like surface plate behavior, incorporating solidification and localization phenomena, would be a worthwhile exercise. A true understanding of this system will only be gained by studying coupled crustal/mantle models. Such models naturally require fine resolution within the crust, where the plates fracture, bend and buckle, and coarser resolution as one descends into the mantle, where deformation occurs on a much larger scale. Error-guided grid adaptivity should therefore be an invaluable tool in simulating such dynamic systems.

#### 4. Conclusions

An adaptive finite element procedure has been applied in simulations of two separate geo-dynamical processes-fluid flow at a MOR and at a SZ. The method has refined the locations of thermal boundary layers wherever they are strong, at the ridge itself and along Earth's surface (MOR), and in the mantle wedge, along the margins of the descending plate and at Earth's surface (SZ). The adapted grids maintain good solution accuracy and, through a series of remeshings, display the ability to gradually improve solution quality, without significantly increasing the total number of unknowns at each stage. The advocated methods are computationally highly efficient, expending approximately 20 percent less CPU time than uniform mesh simulations, for a specified level of accuracy.

This investigation suggests that coupling adaptive strategies to more complex models will lead to a new class of geodynamical simulation, yielding greater insights into the intricate processes at work within Earth's interior. With the methods currently employed in the field, such insights are beyond our capabilities. However, memory efficient numerical techniques, such as the adaptive strategies presented here, will ensure that research is not unnecessarily restricted by computer power. It is therefore of great importance that the geodynamical community begins to implement such schemes.

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